

**UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER 09/23/99	FILING/RECEIPT DATE 10/29/99	AUTHOR AUTHIER	FIRST NAMED APPLICANT	M ATTORNEY DOCKET NO./TITLE
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JOHN R. ROSS III
ROSS PATENT LAW OFFICE
P. O. BOX 2138
DEL MAR CA 92014

0262/1202

NOT ASSIGNED

3751

DATE MAILED: 12/02/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or ☐ **\$130.00** for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.
Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:
\$ _____ for _____ total claims over 20.
\$ _____ for _____ independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☒ is missing or unsigned.
☐ does not cover the newly submitted items.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

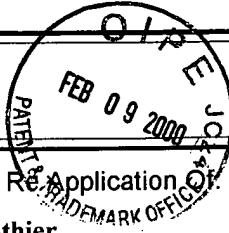
- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

SECTION 8 #3



TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.

In Re Application
Authier

Serial No.
09/429,939

Filing Date
10/29/99

Examiner
not assigned

Group Art Unit
3751

Title: **WATER FREEZE CONTROL FOR HOT TUB SPA**


TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Copy of Notice to File Missing Parts of Application,
Signed Declaration, and
\$65 surcharge**

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$65.00 is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.
 - ☐ Charge the amount of
 - ☐ Credit any overpayment.
 - ☐ Charge any additional fee required.



Signature

Dated:

2/1/00

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I certify that this document and fee is being deposited on
2/1/00 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

John R. Ross, III

Typed or Printed Name of Person Mailing Correspondence

CC: